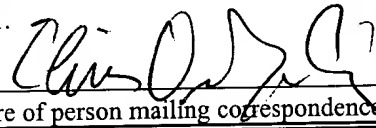


410 Rec'd PCT/PTO 29 AUG 2000

PATENT

ATTORNEY DOCKET NO. 04585/048002 #5

Certificate of Mailing	
Date of Deposit: <u>August 29, 2000</u>	Label Number: <u>EL507497288US</u>
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<u>Elvis De La Cruz</u>	
Printed name of person mailing correspondence	Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert N. McBurney et al.

Art Unit:

Serial No.: 09/530,884

Examiner:

Filed: May 5, 2000

Title: THERAPEUTIC METHODS COMPRISING USE OF A NEUREGULIN

BOX PCT

Commissioner for Patents

Washington, D.C. 20231

REPLY TO NOTIFICATION OF MISSING REQUIREMENTS

In reply to the Notification of Missing Requirements mailed August 17, 2000 (a copy of which is enclosed), Applicant as a large entity submits the following:

- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
- ☒ If the declaration is being submitted after the appropriate 20/30 months after the priority date, a surcharge under 37 CFR 1.492(e) of \$130.00.
- ☒ Additional claims fee of \$180.00.

If there are any charges, or any credits, please apply them to Deposit Account No. 03-

2095.

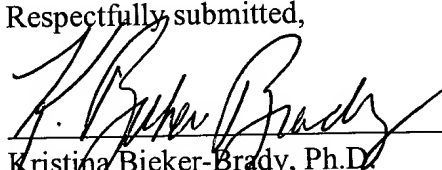
09/05/2000 AGIZAW 00000065 09530884

01 FC:966  
02 FC:154

180.00 DP  
130.00 DP

Respectfully submitted,

Date: August 29, 2000

  
Kristina Bieker-Brady, Ph.D.  
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U.S. APPLICATION NO. 09/530884	RECEIVED MCBURNEY AUG 21 2000 CLARK & ELBING	FIRST NAMED APPLICANT R	ATTY. DOCKET NO. 04585/048002
KRISTINA BIEKER-BRADY CLARK & ELBING 176 FEDERAL STREET BOSTON, MA 02110 2214		INTERNATIONAL APPLICATION NO. PCT/US98/21349	
		I.A. FILING DATE 08 OCT 98	PRIORITY DATE 14 OCT 97
		DATE MAILED: 17 AUG 2000	

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
- ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application in:
  - ☐ a non-English language.
  - ☒ English.
- ☒ Translation of the international application into English.
- ☐ Oath or Declaration of inventors(s) for DO/EO/US.
- ☐ Copy of Article 19 amendments.
- ☐ Translation of Article 19 amendments into English.
- ☐ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☒ Preliminary amendment(s) filed 05 MAY 2000 and \_\_\_\_\_
- ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_
- ☐ Assignment document.
- ☐ Power of Attorney and/or Change of Address.
- ☐ Substitute specification filed \_\_\_\_\_
- ☐ Verified Statement Claiming Small Entity Status.
- ☐ Priority Document.
- ☐ Copy of the International Search Report ☐ and copies of the references cited therein.
- ☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
  - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
  - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ 180 \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which a fee is due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☐ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- 4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
- 5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

- Enclosed:
- ☐ PCT/DO/EO/917
  - ☐ Notice of Defective Translation
  - ☐ PTO-875
- FORM PCT/DO/EO/905 (December 1997)

SHELBY VIGIL, PARALEGAL  
Telephone: 703-305-3653

SU/RD